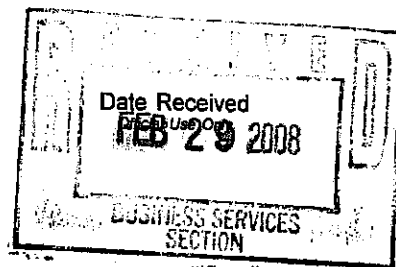


# STATEMENT OF ECONOMIC INTERESTS

## COVER PAGE

*A Public Document*

Please type or print in ink.



NAME (LAST) <b>BORUCKE</b>	(FIRST) <b>JOAN</b>	(MIDDLE) <b>M</b>	DAYTIME TELEPHONE NUMBER <b>(916) 323-0403</b>
MAILING ADDRESS (May use business address) <b>600 N. Tenth St</b>		CITY <b>SACRAMENTO</b>	STATE <b>CA</b>
STREET <b>95811</b>		ZIP CODE <b>95811</b>	OPTIONAL: FAX / E-MAIL ADDRESS

### 1. Office, Agency, or Court

Name of Office, Agency, or Court:

**California Lottery**

Division, Board, District, if applicable:

Your Position:

**Director**

➔ If filing for multiple positions, list additional agency(ies)/ position(s): (Attach a separate sheet if necessary.)

Agency:

Position:

### 2. Jurisdiction of Office (Check at least one box)

☒ State

☐ County of \_\_\_\_\_

☐ City of \_\_\_\_\_

☐ Multi-County \_\_\_\_\_

☐ Other \_\_\_\_\_

### 3. Type of Statement (Check at least one box)

☐ Assuming Office/Initial

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

☒ Annual: The period covered is January 1, 2007, through December 31, 2007.

-or-

☐ The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through December 31, 2007.

☐ Leaving Office Date Left: \_\_\_\_/\_\_\_\_/\_\_\_\_  
(Check one)

☐ The period covered is January 1, 2007, through the date of leaving office.

-or-

☐ The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through the date of leaving office.

☐ Candidate

### 4. Schedule Summary

➔ Total number of pages including this cover page: **25**

➔ Check applicable schedules or "No reportable interests."

I have disclosed interests on one or more of the attached schedules:

Schedule A-1 ☒ Yes - schedule attached  
Investments (Less than 10% Ownership)

Schedule A-2 ☐ Yes - schedule attached  
Investments (10% or greater Ownership)

Schedule B ☐ Yes - schedule attached  
Real Property

Schedule C ☒ Yes - schedule attached  
Income, Loans, & Business Positions (Income Other than Gifts and Travel Payments)

Schedule D ☐ Yes - schedule attached  
Income - Gifts

Schedule E ☐ Yes - schedule attached  
Income - Travel Payments

-or-

☐ No reportable interests on any schedule

### 5. Verification

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY  
Zinitex

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Mining

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
< 10/1/06      2/8/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
WCI Communities

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Residential Construction

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
2/8/07      6/25/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Western Union

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Personal Services

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
1/22/07      8/10/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
USG Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
General Building mat's

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
12/4/06      3/28/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Westshore Terminals

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Coal Export

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
1/22/07      1/25/08  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Thornburg Mortgage

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Financial

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
3/29/07      8/6/07  
 ACQUIRED      DISPOSED

Comments:

# SCHEDULE A-1

## Investments

Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY  
Harris & Harris Group

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Financial

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
2/16/07      7/20/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Sonic Wall

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Internet Software

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
< 10/1/06      2/12/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Sunoco

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Oil & Gas Refining

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
1/11/07      8/1/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
SK Telecom

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Telecommunications

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
3/5/07      8/10/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Pro Funds Short Real Estate

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Exchange Traded Fund

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock  
☒ Other Mutual Fund (Describe)

IF APPLICABLE, LIST DATE:  
< 10/1/06      1/26/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Six Flags

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Amusement Parks

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
3/8/07      7/24/07  
 ACQUIRED      DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY

Apex Silver Mines

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mining

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

< 10/1/06 2/8/07  
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Seabridge Gold

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mining

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

3/5/07 / / 07  
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Sangamo Biosciences

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Biotechnology

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

12/1/06 2/12/07  
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Red Robin Gourmet Burgers

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Restaurant

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

1/22/07 8/10/07  
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Sabine Royalty Trust

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Diversified Investments

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

1/11/07 / / 07  
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Royal Gold

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mining

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

< 10/1/06 3/2/07  
 ACQUIRED DISPOSED

Comments:

# SCHEDULE A-1

## Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY  
Quest Capital

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Savings & Loan

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
1/5/07            /      /07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Progenics Pharmaceuticals

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Pharmaceuticals

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
11/6/06      3/7/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Pain Therapeutics

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Pharmaceuticals

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
12/18/06      2/20/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Pike Electric

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Utilities

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
1/12/07      8/6/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Polymet Mining

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Mining

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
10/1/06      1/16/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Panacos Pharmaceuticals

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Pharmaceuticals

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
3/6/07      6/25/07  
 ACQUIRED      DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY  
Orezone Resources

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Mining

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
3/5/07      5/17/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Novartis

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Pharmaceuticals

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
2/16/07      8/10/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Omnivision Technologies

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Semiconductor

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
3/12/07      8/10/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Nevsun Resources

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Mining

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
1/29/07      3/5/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Orleans Home Builders

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Home Building

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
2/21/07      4/9/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Annaly Capital Management

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Finance

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
3/27/07      8/10/07  
 ACQUIRED      DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

*Joan Borucki*

> NAME OF BUSINESS ENTITY

*New Century Financial*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

*Finance*

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

*2/16/07*

ACQUIRED

*2/26/07*

DISPOSED

> NAME OF BUSINESS ENTITY

*Nanophase Technology*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

*Nano Technology*

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

*2/16/07*

ACQUIRED

*7/18/07*

DISPOSED

> NAME OF BUSINESS ENTITY

*Motorola*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

*Electronics*

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

*2/14/07*

ACQUIRED

*8/10/07*

DISPOSED

> NAME OF BUSINESS ENTITY

*MCG Capital*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

*Finance*

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

*< 10/1/06*

ACQUIRED

*3/05/07*

DISPOSED

> NAME OF BUSINESS ENTITY

*MacDonalds*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

*Restaurant*

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

*1/22/07*

ACQUIRED

*8/10/07*

DISPOSED

> NAME OF BUSINESS ENTITY

*Kosan Biosciences*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

*Biotechnology*

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

*1/29/07*

ACQUIRED

*2/27/07*

DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY  
Intel

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Semiconductor

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
3/12/07    8/10/07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
Kopin Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Electronics

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
1/12/07    5/11/07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
Idenix Pharmaceuticals

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Pharmaceuticals

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
2/8/07    1/1/07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
Grey Wolf Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Oil & Gas Drilling

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
1/5/07    8/10/07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
Headwaters Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Building Materials

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
1/5/07    6/5/07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
Given Imaging

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Medical Equipment

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
3/15/07    8/10/07  
 ACQUIRED    DISPOSED

Comments:



# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY  
Gabelli Dividend & Income

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Finance

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
2/8/07      8/10/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Convergys Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Business Software

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
2/20/07      6/25/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Encana Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Oil & Gas

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
< 10/1/06      2/20/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Chico's Fas Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Apparel Stores

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
1/11/07      5/17/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
DR Horton

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Home Builder

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
10/23/06      3/5/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
BHP Billiton

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Industrial Metals & Minerals

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
2/20/07      8/10/07  
 ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY

Arena Pharmaceuticals

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Pharmaceuticals

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

12/1/06 3/23/07  
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Vista Gold

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mining

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

< 10/1/06 3/2/07  
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Amerigo Resources

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mining

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

1/5/07 8/20/07  
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Sirna Therapeutics

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Pharmaceutical

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

< 10/1/06 1/4/07  
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Atherogenics Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Pharmaceuticals

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

12/18/06 3/9/07  
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Pogo Producing

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Independent Oil & Gas

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

1/11/07 8/10/07  
 ACQUIRED DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY  
Komag Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Computer Hardware

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
10/1/06      2/16/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
KHD Humboldt

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Industrial Equipment

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
10/1/06      6/27/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Diversa

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Alternative Energy

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
3/5/07      \_\_\_\_\_  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Winthrop Realty

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Financial

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
6/27/07      8/10/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Northgate Minerals

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Mining

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
11/28/06      6/27/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Etruscan Resources

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Mining

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
11/28/06      6/4/07  
 ACQUIRED      DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

*Joan Borucki*

> NAME OF BUSINESS ENTITY  
*Esperanza Silver*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
*Mining*

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
*11/28/06*      *6/29/07*  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
*Border Group*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
*Specialty Retail*

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
*6/11/07*      *8/6/07*  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
*Coranta Holding Corp.*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
*Diversified Investments*

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
*5/21/07*      *8/10/07*  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
*Brookfield Asset Management*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
*Financial*

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
*<10/1/06*      *6/8/07*  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
*Crucell*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
*Biotechnology*

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
*12/1/06*      *6/25/07*  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
*XTO Energy*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
*Independent Oil & Gas*

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
*<10/1/06*      *8/10/07*  
 ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

# SCHEDULE A-1

## Investments

Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY

Williams Sonoma

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Home Furnishing

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

10/23/06 8/1/07  
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Van Kempen American Cap.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Financial

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

8/21/07 11/6/07  
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Westward One

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

General Entertainment

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

7/24/07 / / 07  
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Stamps Com. Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Catalog & Mail Order

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

8/13/07 / / 07  
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Verizon

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Telecommunications

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

< 10/1/06 8/10/07  
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Silver Standard Resources

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mining

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

11/28/06 8/16/07  
ACQUIRED DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY  
Proshates TR Ultra Short Real Estate

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Exchange Traded Fund

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

7/05/07 10/4/07  
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Charles Schwab

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Investment Broker

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

7/23/07 8/10/07  
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Scotts Miracle Grow

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Agricultural Chemicals

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

8/20/07 11/26/07  
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Sally Beauty Holdings

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Specialty Retail

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

7/25/07 8/10/07  
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Sigma Aldrich

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Specialty Chemicals

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

10/1/06 8/10/07  
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Retail Ventures

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Apparel Stores

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

9/26/07 11/6/07  
ACQUIRED DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY

Freightcar America

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Transportation

FAIR MARKET VALUE

☒ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

7/24/07

ACQUIRED

8/10/07

DISPOSED

> NAME OF BUSINESS ENTITY

Mylan Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Generic Drugs

FAIR MARKET VALUE

☒ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

7/24/07

ACQUIRED

8/10/07

DISPOSED

> NAME OF BUSINESS ENTITY

Park Electrochemical

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Printed Circuit Boards

FAIR MARKET VALUE

☒ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

8/1/07

ACQUIRED

8/10/07

DISPOSED

> NAME OF BUSINESS ENTITY

Microsoft

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Software

FAIR MARKET VALUE

☒ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

< 10/1/06

ACQUIRED

8/10/07

DISPOSED

> NAME OF BUSINESS ENTITY

Northern Peru Copper

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mining

FAIR MARKET VALUE

☒ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

8/1/07

ACQUIRED

8/20/07

DISPOSED

> NAME OF BUSINESS ENTITY

MFA Mortgage Investments

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mortgage Investment

FAIR MARKET VALUE

☒ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

8/21/07

ACQUIRED

/ / 07

DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY

Lexmark

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Computer Peripherals

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

7/16/07 8/10/07  
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

International Coal

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Industrial Metals & Minerals

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

12/18/06 7/20/07  
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Coke Cola

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Beverages

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

< 10/1/06 8/10/07  
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Handelman Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Wholesale

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

7/24/07 12/28/07  
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Johnson & Johnson

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Pharmaceutical

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

< 10/1/06 8/10/07  
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

Flir Systems

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Scientific & Technical Instruments

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

< 10/1/06 8/10/07  
 ACQUIRED DISPOSED

Comments:



# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY  
Freeport McMoran

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Mining

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
7, 24, 07      8, 20, 07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Dean Foods

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Dairy Products

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
8, 20, 07            ,      , 07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Enterprise Products

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Independent Oil & Gas

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
< 10, 1, 06      8, 6, 07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Citizens Republic Bancorp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Financial

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
7, 18, 07      8, 10, 07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Walt Disney

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Entertainment

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
< 10, 1, 06      8, 10, 07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Anheuser Busch

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Beverage

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
8, 8, 07      8, 10, 07  
 ACQUIRED      DISPOSED

Comments:

# SCHEDULE A-1

## Investments

Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY  
Aracruz Celulose

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Paper Products

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
8/16/07            /      /07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Asset Acceptance

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Financial

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
7/17/07            /      /07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Align Technology

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Medical Appliances

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
8/10/07      10/6/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Chunghwa Telecom

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Telecommunications

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
8/10/07            /      /07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
American Capital Strategies

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Financial

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
< 10/1/06      7/26/07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Cambridge Display

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Electronics

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
< 10/1/06      9/20/07  
 ACQUIRED      DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY  
Expressjet Holdings

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Regional Airlines

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
10/24/07    11/20/07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
Singapore Telecommunications

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Telecommunications

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
11/28/07          /      /07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
SXC Health Solutions

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Application Software

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
11/2/07          /      /07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
IMS Health Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Business Software

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
10/19/07          /      /07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
Silicon Image

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Semiconductor

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
10/17/07          /      /07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
Prologis Trust

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Financial

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
11/9/07          /      /07  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY

Opti COA Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mining

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

11/14/07

ACQUIRED

/ / 07

DISPOSED

> NAME OF BUSINESS ENTITY

Norbord Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Construction Materials

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

11/21/07

ACQUIRED

/ / 07

DISPOSED

> NAME OF BUSINESS ENTITY

NVR Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Residential Construction

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

11/12/07

ACQUIRED

/ / 07

DISPOSED

> NAME OF BUSINESS ENTITY

Meinl European Land

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Real Estate Investment

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

11/09/07

ACQUIRED

11/21/07

DISPOSED

> NAME OF BUSINESS ENTITY

Nevada Geothermal Power

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Alternative Energy

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

11/20/07

ACQUIRED

/ / 07

DISPOSED

> NAME OF BUSINESS ENTITY

Labranche & Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Financial

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

10/24/07

ACQUIRED

/ / 07

DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY  
SPDR SER TR

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Exchange Traded Fund.

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
10 / 23 / 07           /     / 07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
International Speedway

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Sporting Activities

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
11 / 9 / 07           /     / 07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Keppel LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Oil & Gas Drilling

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
11 / 08 / 07           /     / 07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Hershey Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Confectioners

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
12 / 19 / 07           /     / 07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Kinetic Concepts

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Medical Appliances

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
12 / 13 / 07           /     / 07  
 ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Fortuna Mines

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Mining

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_ (Describe)

IF APPLICABLE, LIST DATE:  
12 / 3 / 07           /     / 07  
 ACQUIRED      DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**  
FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY  
FBR Small Cap Financial

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Financial

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
10/4/07          /      /07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
Covidien LTD.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Medical Instruments

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
10/19/07          /      /07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
Dun & Bradstreet

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Financial

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
11/9/07          /      /07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
Cogent Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Business Software

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
10/11/07    11/6/07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
Combinatorx Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Biopharmaceutical

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
11/28/07          /      /07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
Charming Shoppes Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Apparel Stores

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
10/24/07          /      /07  
 ACQUIRED    DISPOSED

Comments:

**SCHEDULE A-1****Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY  
CF Industrial Holdings

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Agricultural Chemicals

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
(Describe)

IF APPLICABLE, LIST DATE:  
10, 9, 07      10, 11, 07  
ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Berkshire Hathaway

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Insurance

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
(Describe)

IF APPLICABLE, LIST DATE:  
12, 3, 07           ,    , 07  
ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
China Bak Battery

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Industrial Electrical Equipment

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
(Describe)

IF APPLICABLE, LIST DATE:  
11, 8, 07           ,    , 07  
ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
American Eagle Outfitters

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Apparel Stores

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
(Describe)

IF APPLICABLE, LIST DATE:  
10, 4, 07           ,    , 07  
ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
Cardinal Health

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Drugs - Wholesale

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
(Describe)

IF APPLICABLE, LIST DATE:  
12, 13, 07           ,    , 07  
ACQUIRED      DISPOSED

> NAME OF BUSINESS ENTITY  
General Motors

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Auto Manufacturer

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
(Describe)

IF APPLICABLE, LIST DATE:  
9, 21, 07      9, 24, 07  
ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**  
FAIR POLITICAL PRACTICES COMMISSION

Name

*Joan Borucki*

> NAME OF BUSINESS ENTITY  
*Choice point*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
*Business Services*

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
*7/20/07*    *7/23/07*  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
*Palomar Medical*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
*Medical Equipment*

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000    ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
*11/19/07*    *11/28/07*  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
*Raytheon*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
*Aerospace/Defense Contractor*

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
*10/1/06*    *8/10/07*  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/07    \_\_\_\_/\_\_\_\_/07  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY  
*Exelon Corp*

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
*Diversified Utilities*

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
*10/1/06*    *8/10/07*  
 ACQUIRED    DISPOSED

> NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock  
☐ Other \_\_\_\_\_  
 (Describe)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/07    \_\_\_\_/\_\_\_\_/07  
 ACQUIRED    DISPOSED

Comments:



**SCHEDULE C**  
**Income, Loans, & Business**  
**Positions**  
(Other than Gifts and Travel Payments)

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION Name <u>Joan Borucki</u>
--

1. INCOME RECEIVED	1. INCOME RECEIVED
NAME OF SOURCE OF INCOME <u>Aerogjet Inc</u>	NAME OF SOURCE OF INCOME
ADDRESS <u>aerospace engineering</u>	ADDRESS
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
YOUR BUSINESS POSITION	YOUR BUSINESS POSITION
GROSS INCOME RECEIVED <input type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input checked="" type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000	GROSS INCOME RECEIVED <input type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000
CONSIDERATION FOR WHICH INCOME WAS RECEIVED <input type="checkbox"/> Salary <input checked="" type="checkbox"/> Spouse's or registered domestic partner's income <input type="checkbox"/> Loan repayment <input type="checkbox"/> Sale of _____ <span style="margin-left: 150px;">(Property, car, boat, etc.)</span>	CONSIDERATION FOR WHICH INCOME WAS RECEIVED <input type="checkbox"/> Salary <input type="checkbox"/> Spouse's or registered domestic partner's income <input type="checkbox"/> Loan repayment <input type="checkbox"/> Sale of _____ <span style="margin-left: 150px;">(Property, car, boat, etc.)</span>
<input type="checkbox"/> Commission or <input type="checkbox"/> Rental Income, list each source of \$10,000 or more	<input type="checkbox"/> Commission or <input type="checkbox"/> Rental Income, list each source of \$10,000 or more
<input type="checkbox"/> Other _____ <span style="margin-left: 100px;">(Describe)</span>	<input type="checkbox"/> Other _____ <span style="margin-left: 100px;">(Describe)</span>

**2. LOAN RECEIVED**

\* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*	INTEREST RATE	TERM (Months/Years)
ADDRESS	_____ % <input type="checkbox"/> None	_____
BUSINESS ACTIVITY, IF ANY, OF LENDER	SECURITY FOR LOAN	
	<input type="checkbox"/> None <input type="checkbox"/> Personal residence	
HIGHEST BALANCE DURING REPORTING PERIOD <input type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000	<input type="checkbox"/> Real Property _____ <span style="margin-left: 150px;">Street address</span> _____ <span style="margin-left: 150px;">City</span>	
	<input type="checkbox"/> Guarantor _____	
	<input type="checkbox"/> Other _____ <span style="margin-left: 100px;">(Describe)</span>	

Comments: \_\_\_\_\_